

121036-0092

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Unknown

INTERNATIONAL APPLICATION NO.  
PCT/JP2005/005114

INTERNATIONAL FILING DATE  
March 22, 2005

PRIORITY DATE CLAIMED  
March 24, 2004

TITLE OF INVENTION

METHOD OF PRODUCING ACRYLIC COPOLYMER

APPLICANT(S) FOR DO/EO/US

Masayoshi KORIUCHI; Toshimasa SAGAWA; Haruyoshi TATSU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 790916517US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
Unknown 1340		PCT/JP2005/005114		121036-0092	
23. Other items or information: English Translation of International Search Report					
The following fees have been submitted:				CALCULATIONS PTO USE	
24. <input checked="" type="checkbox"/> Basic national fee				\$300	\$300.00
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article				\$0	\$200.00
All other situations				\$200	
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority				\$100	\$400.00
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB				\$400	
All other situations				\$500	
TOTAL OF 24, 25 and 26 =					\$900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
- 100 =	0 / 50 =	0		x \$250.00	\$0.00
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 - 20 =	0	x \$50.00		\$0.00
Independent claims	1 - 3 =	0	x \$200.00		\$0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/>				+	\$360.00
TOTAL OF ABOVE CALCULATIONS =					\$900.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					\$0.00
SUBTOTAL =					\$900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$0.00
TOTAL NATIONAL FEE =					\$900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+	\$40.00
TOTAL FEES ENCLOSED =					\$940.00
				Amount to be	\$
				Amount to be	\$

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 12-2136 in the amount of \$ \$940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-2136. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Michael S. Gzybowski  
 BUTZEL LONG  
 350 South Main Street  
 Suite 300  
 Ann Arbor, MI 48105

  
 SIGNATURE

Michael S. Gzybowski

NAME

32,816

REGISTRATION NUMBER

July 28, 2006

DATE

10/587640

JP20 Rec'd 28 JUL 2006  
"EXPRESS MAIL" COVER LETTER  
U.S. PATENT APPLICATIONS

Docket No. 121036-0092

Date: July 28, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Application for United States Letters Patent

APPLICANTS: Masayoshi HORIUCHI; Toshimasa SAGAWA; Haruyoshi TATSU

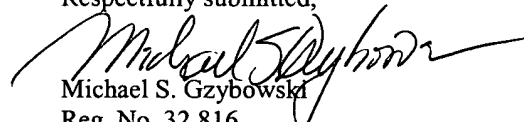
TITLE OF INVENTION: METHOD OF PRODUCING ACRYLIC COPOLYMER

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

- Express Mail Cover Letter (Certificate of Mailing)
- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 - In Duplicate
- International Application No. PCT/JP2005/005114
- Translation of International Application No. PCT/JP2005/005114 into English Including Specification (16 pages), Claims (1 page) and Abstract (1 page)
- Preliminary Amendment
- PCT/USA National Declaration and Power of Attorney
- Copy of International Search Report (in English)
- Information Disclosure Statement
- Copies of 4 References Cited in International Search Report as Listed on Form PTO-1449
- Copies of 3 References Cited in Specification
- Assignment and Assignment Recordation Sheet
- Fee Transmittal
- Return Post Card

Respectfully submitted,

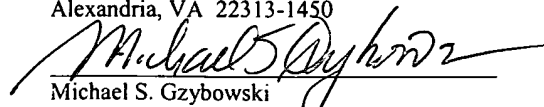
  
Michael S. Gzybowski  
Reg. No. 32,816  
Attorney for Applicant

BUTZEL LONG  
350 South Main Street  
Suite 300  
Ann Arbor, MI 48104  
734-995-3110 (ph)

"EXPRESS MAIL" Mailing No. EV 790916517 US

Date of Deposit: July 28, 2006

I hereby certify that this paper is being deposited with  
The United States Postal Service "EXPRESS MAIL  
POST OFFICE TO ADDRESSEE" service under 37  
CFR 1.10 on the date indicated above and is addressed  
to the Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450

  
Michael S. Gzybowski

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Masayoshi HORIUCHI et al.			Docket No. 121036-0092	
Application No. Unknown	Filing Date July 28, 2006	Examiner Unknown	Customer No. 35684	Group Art Unit Unknown

Invention: **METHOD OF PRODUCING ACRYLIC COPOLYMER**

I hereby certify that the following correspondence:

**New National Phase Utility Patent Application based on PCT/JP2005/005114 (See list of submitted documents below)**

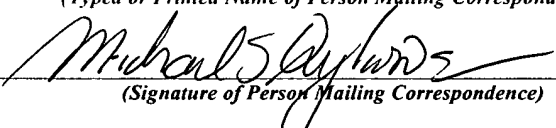
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

July 28, 2006  
*(Date)*

Michael S. Gzybowski

*(Typed or Printed Name of Person Mailing Correspondence)*



*(Signature of Person Mailing Correspondence)*

**EV 790916517 US**

*("Express Mail" Mailing Label Number)*

**Note: Each paper must have its own certificate of mailing.**

Express Mail Cover Letter (Certificate of Mailing)

Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 - In Duplicate

International Application No. PCT/JP2005/005114

Translation of International Application No. PCT/JP2005/005114 into English Including Specification (16 pages), Claims (1 page) and Abstract (1 page)

Preliminary Amendment

PCT/USA National Declaration and Power of Attorney

Copy of International Search Report (in English)

Information Disclosure Statement

Copies of 4 References Cited in International Search Report as Listed on Form PTO-1449

Copies of 3 References Cited in Specification

Assignment and Assignment Recordation Sheet

Fee Transmittal

Return Post Card

USPTO Form 101 18 JUL 2006

Doc Code:

PTO/SB/17 (01-06)  
Approved for use through 07/31/2006. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL for FY 2006</b>		<b>Complete if Known</b>	
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number Filing Date First Named Inventor Examiner Name Art Unit Attorney Docket No.	Unknown July 28, 2006 Masayoshi HORIUCHI et al. Unknown Unknown 121036-0092
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>\$940.00</b>	

**METHOD OF PAYMENT** (check all that apply)

☐ Check   
 ☐ Credit Card   
 ☐ Money Order   
 ☐ None   
 ☐ Other (please identify): \_\_\_\_\_

☒ Deposit   
 Deposit Account Number: 12-2136   
 Deposit Account Name: BUTZEL LONG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below   
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or any underpayments of fee(s) under 37 CFR 1.16 and 1.17   
 ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	Fee (\$)	<u>Small Entity</u> Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	<u>Small Entity</u> Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	\$50.00	=	\$0.00	
HP = highest number of total claims paid for, if greater than 20.					
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		
- 3 or HP =	x	\$200.00	=	\$0.00	
HP = highest number of independent claims paid for, if greater than 3.					

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 0 (round up to a whole)	x \$250.00	= \$0.00

**4. OTHER FEE(S)**

Non-English specification, \$130 fee (no small entity discount)  
Other (e.g., late filing surcharge): National Phase Filing Fee and Recordation Fee \$940.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	32,816
Name (Print/Type)	Michael S. Gzybowski	Telephone	734-995-3110
		Date	July 28, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.